

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2010-03-15
Date of Last Change to Activities: 2012-08-11
Investment Auto Submission Date: 2012-02-28
Date of Last Investment Detail Update: 2012-02-27
Date of Last Exhibit 300A Update: 2012-02-28
Date of Last Revision: 2012-08-11

Agency: 012 - Department of Labor **Bureau:** 25 - Departmental Management

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: SOL - IT Modernization

2. Unique Investment Identifier (Ull): 012-000003585

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Office of the Solicitor (SOL) ensures that the Nation's labor laws are forcefully and fairly applied to protect the Nation's workers. It currently lacks the technology to keep up with an increasing workload. Not only is its caseload increasing, but cases today involve an increasing amount of electronic data. The evidence management portion of this investment marries the enforcement (inspection) business processes of client enforcement agencies with the legal services (litigation) business processes of SOL by providing electronic sharing of common evidence documents and automating manual litigation support processes. The matter management portion of this investment supports resource management of the delivery of legal services to ensure that legal services deadlines established by the Federal court and client program agencies are met and also supports compliance with appropriation guidelines and performance management targets. During FY11, SOL continued development and testing of Phase 1 of the Matter Management Initiative. Additionally, SOL completed the initial requirements analysis, detailed cost benefit analysis and the solicitation development for the Evidence Management Initiative. The overall benefit to the government and to the citizens will be in the form of more efficient management of labor law cases and litigation through the improved capabilities provided to DOL's attorneys and legal staff.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

The fundamental business problem is that SOL IT and litigation support continues to operate using paper-based, manual processes in an industry that has long embraced the use of technology to improve the effectiveness of legal representation. This project will close legacy system performance gaps including limited electronic sharing of common evidential data, lack of centralized access to geographically disbursed evidence information, manual paper-based manipulation of evidence, outdated legacy system technology that cannot keep pace with the volume and variety of evidence file formats or the technology utilized by opposing counsel, and the inability to respond to recent regulatory changes in the Federal Rules of Civil procedure regarding e-discovery. In the absence of the proper e-discovery tools, SOL cannot effectively compete with even the smallest commercial law firms (opposing counsel) that use technology as a force multiplier to vigorously represent their clients in litigation against the Department. In many cases, SOL cannot effectively process electronic evidence information (e.g., OSHA and MSHA inspection reports) related to enforcement actions of program agencies. Noncompliance with regulations related to the handling and preservation of electronic media is at high risk. The continued use of SOL's current manual processes results in attorney and staff frustration, decline in morale, and most importantly risks ineffective legal representation. In order to provide effective services to the Secretary and Department, SOL must update its current legal technology infrastructure and greatly expand its inadequate legal technology services to remain effective in our enforcement actions and in litigation and regulatory activities. The technology-based tools provided by the IT Modernization Initiative will allow SOL to process more complex and ground-breaking enforcement cases resulting in better protection of the rights of American workers. In accordance with the "Cloud First" policy from OMB's 25 Point Plan to Reform Federal IT Management, this project aims to implement a cloud-based solution to provide a secure, reliable, cost-effective application for managing litigation evidence. Failure to fund this investment will severely hamper the Department's ability to handle anticipated workload, thus reducing the effectiveness of protecting the rights of American workers as economic, financial, and global competition impacts the workplace.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

For the Matter Management Initiative (MMI), the design and development of Phase 1 of the Matter Management System (MMS) included creation of the following: - User interface - Business rules - Time management - System security - Reporting - Integration into the DOL environment - Successful data conversion tests using legacy application data. Evidence Management Initiative (EMI) accomplishments include: - Completion of market research and analysis - Completion of Cost Benefit Analysis for cloud-hosted alternatives - Development of procurement materials - Review of RFI responses in preparation for award.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

For the Matter Management Initiative (MMI), current and budget year activities include: - Final integration into the DOL network environment (development, user acceptance, quality

assurance, production) - Final User Acceptance Testing (UAT) - Final IV&V validation of data conversion and management reporting functionality - Obtain ATO - Schedule and roll-out new application with on-site training nationwide - Decommission legacy applications - Implement change control policy - Deliver Legislative Project Tracking System Capability - Deliver Wage and Hour Database Replacement Capability - Create additional management reports and explore email and calendar integration (Budget Year) These activities build upon the system foundation created in prior investment years, and will allow SOL to reap the business improvement benefits that the system provides. Specifically, the implementation and launch of this system will allow SOL to analyze the volume, diversity, trends, and impact of the workload in SOL divisions and field offices. The information it provides will also allow SOL to more effectively manage resources, monitor and improve performance, and provide client agencies with valuable information about their programs. By launching this new system, SOL is able to decommission an ineffective legacy application and eliminate its associated maintenance costs. For Evidence Management Initiative (EMI), current year activities include: - Finalize application design of Relativity (COTS product) for next generation litigation support system - Initiate development of new system for evidence management using Relativity - Implement and provide training for Relativity - Transition litigation support to new vendor - Decommission legacy application - Implement full Security Accreditation and Approval - Implement change control policy - Obtain ATO for Relativity These activities contribute to the creation of a new system to identify, collect, store, analyze, arrange and display all evidence related to litigation to meet recent litigation support requirements. Electronic catalog and discovery is a critical element of modern litigation. This system will assist SOL in managing the collection, use, and preservation of electronically stored information. By launching this new system, SOL is able to decommission an ineffective legacy application and eliminate its associated maintenance costs.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2010-08-09

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.5	\$0.3	\$0.3	\$0.0
DME (Excluding Planning) Costs:	\$2.4	\$2.7	\$1.7	\$0.5
DME (Including Planning) Govt. FTEs:	\$0.7	\$0.6	\$0.5	\$0.1
Sub-Total DME (Including Govt. FTE):	\$3.6	\$3.6	\$2.5	\$0.6
O & M Costs:	\$2.4	\$1.2	\$1.3	\$1.2
O & M Govt. FTEs:	\$0.1	\$0.1	\$0.2	\$0.5
Sub-Total O & M Costs (Including Govt. FTE):	\$2.5	\$1.3	\$1.5	\$1.7
Total Cost (Including Govt. FTE):	\$6.1	\$4.9	\$4.0	\$2.3
Total Govt. FTE costs:	\$0.8	\$0.7	\$0.7	\$0.6
# of FTE rep by costs:	6	7	7	5
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Unchanged

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded		DOLJ099N29442									
Awarded		DOLQ109N30317									
Awarded		DOLJ119N31523									
Awarded		DOLU119N32617									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The contract designated as not requiring EVM are for hardware purchases. They only involve the purchase of equipment. Contracts for the purchase of goods generally do not require EVM. Costs for the goods will be managed according to their firm fixed price contracts.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-11

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
358501	Matter Management Initiative	Development, implementation, and training of new matter management system. Interim support for legacy application. Decommissioning of legacy application upon new system deployment.			
358502	Evidence Management Initiative	Development, implementation, and training of new evidence management system. Interim support for legacy application. Decommissioning of legacy application upon new system deployment.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
358501	Matter Management Initiative							
358502	Evidence							

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
	Management Initiative							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
358501	FY2011 - MMI Phase 2 Requirements Analysis for application to track all legal activities.		2011-09-30	2011-09-30	2011-09-30	151	0	0.00%
358501	MILESTONE #36: MMI Phase 2 - Design of legacy application replacement and improvements for Phase 2 of MMI replacing WMS (FOIA Appeals, LPTS, Wage & Hour) - FY 2011		2011-09-30	2011-09-30	2011-09-30	99	0	0.00%
358502	EMI Acquisition Activities: Select Solution for improved litigation support activities for DOL attorneys replacing LSS - FY 2011		2011-09-30	2011-09-30	2011-09-30	182	0	0.00%
358501	MMI Phase 1 Design - FY 2011		2011-09-30	2011-09-30	2011-09-30	182	0	0.00%
358501	SDLC Phase 1 Conceptual Planning	Corrected Planned and Actual Cost = \$0.00	2012-03-13	2012-03-13	2012-03-13	29	0	0.00%
358501	FY2012 MMI Phase 1 System Preparation & Readiness	Contains IV&V, Govt Travel, Other SOL auth expenses	2012-05-17	2012-05-17	2012-05-17	227	0	0.00%
358501	SDLC Phase 2 Planning and	Actual cost correction	2012-05-31	2012-05-31		108	-92	-85.19%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	Requirements Definition							
358501	SDLC Phase 3 - Design	Correct Plan dates and costs	2012-07-27	2012-07-27		123	-35	-28.46%
358502	FY12 - EMI Software/Security Implementation - (IED)	Replacement Task for 2250 and 2252	2012-09-28	2012-09-28		270	0	0.00%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Customer Satisfaction Survey - Litigation Support - Rate customer (SOL Attorneys and Paralegals) satisfaction with litigation support (evidence management), on a scale of 1-100.	Scale of 1 (very unfavorable) to 100 (very favorab	Customer Results - Customer Benefit	Under target	0.000000	0.000000		0.000000	Quarterly
Customer Satisfaction Survey - Matter Management - Rate customer (SOL Attorneys and Paralegals) satisfaction with matter management system, on a scale of 1-100.	Scale of 1 (very unfavorable) to 100 (very favorab	Customer Results - Customer Benefit	Under target	0.000000	0.000000		0.000000	Quarterly
Litigation Processing Volume - Increase in total number of enforcement litigation matters processed. Baseline is average of litigation matters processed in FY08 (17,930) and FY09 (20,371). Goals are to increase from the baseline by 2% in 2013 and 5% in 2014.	Number of enforcement litigation matters processed	Mission and Business Results - Services for Citizens	Under target	19150.000000	19150.000000		19150.000000	Quarterly
Matter Processing Efficiency - Increase in number of pending matters closed per	Number of pending matters closed per attorney	Mission and Business Results - Services for Citizens	Under target	49.050000	51.500000		52.970000	Quarterly

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
attorney. Baseline is average of pending matters closed per attorney in FY08 (52.90) and FY09 (45.21). Goals are to increase from the baseline by 5% in 2011, 8% in 2012 and 10% in 2013.								
Reporting Cycle Timeliness - Decrease in average number of days required to produce management reports after end of reporting cycle in FY09. Goals are to decrease from the baseline by 10% in 2011, 15% in 2012 and 20% in 2013.	Number of days required to produce management repo	Process and Activities - Cycle Time and Timeliness	Under target	8.620000	7.760000		7.330000	Monthly
Customer Satisfaction - Problem Reports - Decrease in numbr of customer complaints received regarding matter management systems. Baseline based on requests for user support or troubleshooting received for WMS in FY09. Goals are to decrease from baseline by 5% in 2011, 10% in 2012 and 20% in 2013.	Number of customer complaints received	Process and Activities - Quality	Under target	186.000000	177.000000		167.000000	Quarterly
System Availability - Decrease in number of customer complaints received	Number of complaints regarding availability or dow	Technology - Reliability and Availability	Under target	67.000000	64.000000		60.000000	Monthly

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency

regarding system availability or downtime. Baseline determined by manually reviewing all customer complaints received in FY09. Goals are to decrease from baseline by 10% in 2012 and 20% in 2013.